

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE

Washington, D.C. 2023! www.uspto.gov

APPLICATION NUMBER

008791

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/990,899

LOS ANGELES, CA 90025

**BLAKELY SOKOLOFF TAYLOR & ZAFMAN** 

12400 WILSHIRE BOULEVARD, SEVENTH FLOOR

11/16/2001

Bryant E. Bigbee

42390P12481

CONFIRMATION NO. 3441 FORMALITIES LETTER

\*OC000000007166109\*

Date Mailed: 12/06/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.

  A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/04/2002 EEKUBAY1 00000087 09990899

01 FC:105

130.00 OP

## COPY OF PAPERS ORIGINALLY FILED

Sector

Please type a plus sign (+) inside this box

PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0551-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL FORM** 

(to be used for all correspondence after initial filing)

09/990,899 **Application Number** 11/16/01 **Filing Date Bryant Bigbee First Named Inventor** Not Assigned Yet **Group Art Unit** Not Assigned Yet **Examiner Name** Attorney Docket Number 42390P12481

| Total Number of   | of Pages in This Subm | Attorney Docket Number 42390P12481  |  |  |  |  |  |
|---|-----------------------|---|--|--|--|--|--|
|   |                       | ENCLOSURES (check all that apply)   |  |  |  |  |  |
| Fee Transmittal For                                       | m                     | Assignment Papers (for an Application)  After Allowance Communication to Group  Appeal Communication to Board |  |  |  |  |  |
| Fee Attached  | d                     | Drawing(s)  Appeal Communication to Board of Appeals and Interferences  |  |  |  |  |  |
| Amendment / Reply  After Final                            |                       | Licensing-related Papers  Appeal Communication to Group  (Appeal Notice, Brief, Reply Brief)                  |  |  |  |  |  |
|   |                       | Petition Proprietary Information  |  |  |  |  |  |
| Affidavits/de   | claration(s)          | Petition to Convert to a Provisional Application Status Letter  |  |  |  |  |  |
| Extension of Time F                                       | Request               | Power of Attorney, Revocation Change of Correspondence Address  Other Enclosure(s) (please identify below):   |  |  |  |  |  |
| Express Abandonm  | nent Request          | Terminal Disclaimer postcard  Request for Refund  |  |  |  |  |  |
| Information Disclosure Statement                          |                       | CD, Number of CD(s)   |  |  |  |  |  |
| Certified Copy of Priority Document(s)                    |                       | Remarks   |  |  |  |  |  |
| Response to Missin Incomplete Applicate                   |                       | Please charge any insufficiencies or credit overpayments to Deposit   |  |  |  |  |  |
| Response to Missing Parts under 37 CFR 1.52 or 1.53       |                       | Account 02-2666.  |  |  |  |  |  |
|   | SIGNATU               | IRE OF APPLICANT, ATTORNEY, OR AGENT  |  |  |  |  |  |
| Firm or William W. Kidd, Reg. No. 31, 772 Individual name |                       | dd, Reg. No. 31, 772  |  |  |  |  |  |
| Signature   | Will                  | iam W. Kield<br>H-OZ  |  |  |  |  |  |
| Date  | 2-                    | 4-02  |  |  |  |  |  |
| CERTIFICATE OF MAILING                                    |                       |   |  |  |  |  |  |

Deborah Roszkowski-Typed or printed name Signatur CH Date Burden Hour Statement: This form is estimated to take 0.2 hours to complete Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class

mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:



PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Reperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# **EE TRANSMITTAL** for FY 2002

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT** 

130.00 (\$)

| Complete if Known    |                  |  |
|----------------------|------------------|--|
| Application Number   | 09/990,899       |  |
| Filing Date          | 11/16/01         |  |
| First Named Inventor | Bryant Bigbee    |  |
| Examiner Name        | Not yet assigned |  |
| Group Art Unit       | Not yet assigned |  |
| Attorney Docket No.  | 42390.P12481     |  |

| METHOD OF PAYMENT   | FEE CALCULATION (continued)  |          |  |  |  |  |
|---|--|----------|--|--|--|--|
| 1. X The Commissioner is hereby authorized to charge indicated fees and credit any overnayments to: | 3. ADDITIONAL FEES   |          |  |  |  |  |
| indicates less and decir any everpayments to:   | Large Small  |          |  |  |  |  |
| Deposit<br>Account 02-2666  | Entity Entity Fee Fee Fee Fee Fee Pascription Fee Pa                                     | id       |  |  |  |  |
| Number  | Code (\$) Code (\$)  |          |  |  |  |  |
| Deposit<br>Account<br>Name Blakely Sokoloff Taylor & Zafman LLP                                     | 105 130 205 65 Surcharge - late filing fee or oath 130.0                                 | 쒸        |  |  |  |  |
| Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17                                       | 127 50 227 25 Surcharge - late provisional filing fee or cover sheet                     | 4        |  |  |  |  |
| Applicant claims small entity status.   | 139 130 139 130 Non-English specification  | $\dashv$ |  |  |  |  |
| See 37 CFR 1.27   | 147 2,520 147 2,520 For filing a request for ex parte reexamination                      |          |  |  |  |  |
| 2. X Payment Enclosed:  | 112 920° 112 920° Requesting publication of SIR prior to Examiner action                 | ╝        |  |  |  |  |
| Check Credit card Order Other  FEE CALCULATION  | 113 1,840° 113 1,840° Requesting publication of SIR after Examiner action                | 4        |  |  |  |  |
|   | 115 110 215 55 Extension for reply within first month                                    | 4        |  |  |  |  |
| 1. BASIC FILING FEE   | 116 400 216 200 Extension for reply within second month                                  | 4        |  |  |  |  |
| Large Entity Small Entity Fee Fee Fee Fee Description   | 117 920 217 460 Extension for reply within third month                                   | _        |  |  |  |  |
| Code (\$) Code (\$) Fee Paid  | 118 1,440 218 720 Extension for reply within fourth month                                | _        |  |  |  |  |
| 101 740 201 370 Utility filing fee  | 128 1,960 228 980 Extension for reply within fifth month                                 |          |  |  |  |  |
| 106 330 206 165 Design filing fee   | 119 320 219 160 Notice of Appeal   | _        |  |  |  |  |
| 107 510 207 255 Plant filing fee  108 740 208 370 Reissue filing fee                                | 120 320 220 160 Filing a brief in support of an appeal                                   |          |  |  |  |  |
| 114 160 214 80 Provisional filing fee   | 121 280 221 140 Request for oral hearing   |          |  |  |  |  |
|   | 138 1,510 138 1,510 Petition to institute a public use proceeding                        | _        |  |  |  |  |
| SUBTOTAL (1) (\$)   | 140 110 240 55 Petition to revive - unavoidable  |          |  |  |  |  |
| 2. EXTRA CLAIM FEES   | 141 1,280 241 640 Petition to revive - unintentional                                     | _        |  |  |  |  |
| Fee from Ext <u>ra Claims below Fee Paid</u>  | 142 1,280 242 640 Utility issue fee (or reissue)   | _        |  |  |  |  |
| Total Claims -20** = X =  | 143 460 243 230 Design issue fee   | -1       |  |  |  |  |
| Independent - 3** = X = =   | 144 620 244 310 Plant issue fee  |          |  |  |  |  |
| Multiple Dependent =  | 122 130 122 130 Petitions to the Commissioner  |          |  |  |  |  |
| · ·   | 123 50 123 50 Processing fee under 37 CFR 1.17(q)  | -        |  |  |  |  |
| Large Entity Small Entity Fee Fee Fee Fee Description   | 126 180 126 180 Submission of Information Disclosure Stmt                                |          |  |  |  |  |
| Fee Fee Fee Fee Fee Description Code (\$) Code (\$)  103 18 203 9 Claims in excess of 20            | 581 40 581 40 Recording each patent assignment per property (times number of properties) |          |  |  |  |  |
| 102 84 202 42 Independent claims in excess of 3   | 146 740 246 370 Filing a submission after final rejection<br>(37 CFR § 1.129(a))         |          |  |  |  |  |
| 104 280 204 140 Multiple dependent claim, if not paid 109 84 209 42 ** Reissue independent claims   | 149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b))         | $\Box$   |  |  |  |  |
| over original patent  | 179 740 279 370 Request for Continued Examination (RCE)                                  |          |  |  |  |  |
| 110 18 210 9 ** Reissue claims in excess of 20 and over original patent                             | 169 900 169 900 Request for expedited examination of a design application                |          |  |  |  |  |
| SUBTOTAL (2) (\$)   | Other fee (specify)  |          |  |  |  |  |
| <sup>™</sup> or number previously paid, if greater; For Reissues, see above                         | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 130.00                               | <u></u>  |  |  |  |  |

| SUBMITTED BY      |                 | Complete (if applicable)          |        |           |              |
|-------------------|-----------------|-----------------------------------|--------|-----------|--------------|
| Name (Print/Type) | William W. Kidd | Registration No. (Attorney/Agent) | 31,772 | Telephone | 512-306-7654 |
| Signature         | William W.      | Kidel                             |        | Date      | 2-4-02       |